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# **Policy Forum**

# Newborn screening and related policy against Phenylketonuria in China

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Summary Phenylketonuria (PKU) is a treatable and preventable inherited metabolic disease. The overall incidence of PKU in China is 1/11,144. Newborn screening is an effective method of controlling PKU. In1981, the Chinese Government initiated a newborn screening program and the number of newborns screened for PKU in China has risen each year. This review describes the current status of laws and regulations related to newborn screening for PKU in China and it identifies how China's newborn screening program has improved as a result of these laws and regulations. Specific measures and regulations, such as those implemented by government, follow-up services, and government coverage of expenses, have been implemented in different areas where they have yielded good results. These measures and regulations may serve as a reference for other areas of China. However, measures and regulations and national financial support are expected to play a more significant role in newborn screening for PKU in the future.

*Keywords:* Phenylketonuria (PKU), inherited metabolic disease, incidence, newborn screening, measures and regulations

#### 1. Introduction

Phenylketonuria (PKU) is usually caused by a deficiency of phenylalanine hydroxylase and results in severe mental retardation and neurobehavioral abnormalities. The overall incidence of PKU worldwide varies widely in different human populations. According to the National Institute of Child Health and Human Development, PKU occurs in approximately 1 in 15,000 births in the United States (1). However, incidence varies worldwide in different ethnic populations. A high incidence is reported in Turkey (1/2,600), while countries such as Finland and Japan have extremely low rates, with fewer than one case of PKU in 100,000 births (2,3). PKU is a treatable and preventable inherited metabolic disease (4). Newborn screening combined with a Phe-restricted therapeutic

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diet throughout childhood can help to control PKU in most patients (5). Studies have shown that a higher rate of newborn screening and earlier treatment can lead to a better prognosis for patients (6-8).

In China, the overall incidence of PKU is 1/11,144 (9). In 1981, the Chinese Government instituted a newborn screening program by testing dried blood samples (DBS); this program is focused primarily on congenital hypothyroidism (CH) and PKU. From1985 to 2006, a total of 13.66 million newborns had been tested for PKU and 1,170 cases had been confirmed, for a PKU rate of 1/11,680 (10). Prior to 2011, a total of 35.79 million DBS from newborns had been tested for PKU and 3,082 cases of PKU had been confirmed, for a PKU rate of 1/11,614 (11). According to available data (10,12), the number of newborns screened for PKU increased markedly after 1999 (Figure 1).

The current review has described laws and regulations related to newborn screening for PKU in China. Based on an analysis of the current status of and challenges faced by newborn screening for PKU, this review seeks to provide insight into the future prospects of newborn screening for PKU in China.

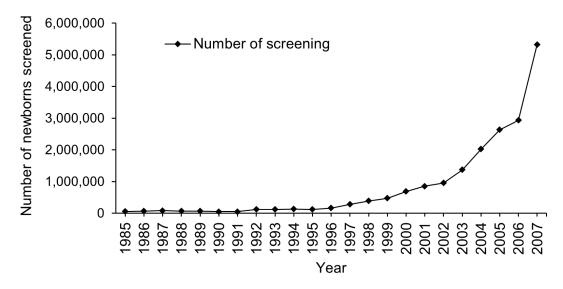


Figure 1. Annual number of newborns screened from 1985 to 2007.

#### 2. Program of newborn screening for PKU in China

# 2.1. Laws and regulations related to the program of newborn screening for PKU

As mentioned earlier, a newborn screening program was instituted by the Chinese Government in 1981. Screening for PKU is a part of that screening program. The very first screening took place in Shanghai in October 1981, with 14 maternity hospitals participating (13). Since then, the Chinese Government has drafted a series of laws and regulations to enhance the extent of newborn screening (Table 1). These laws and regulations have identified the importance of newborn screening in law, stipulated that PKU be screened for, included newborn screening into basic maternal and infant health care services, established a protocol and technical specifications for China's newborn screening (in order to improve the quality of PKU screening and testing), and specified the objectives of newborn screening program, including PKU screening (14-18). The rate of newborn screening in Eastern China should reach 90% by 2012 and 95% by 2015, that in middle China should reach 50% by 2012 and 80% by 2015, and that in Western China should reach 40% by 2012 and 60% by 2015.

The program of newborn screening for PKU had made significant process with the help of those laws and regulations. There were only 3 screening centers in the 1980s, when newborn screening had just started, and yet the number increased to 46 in 2002 and then to 179 by the end of 2009 (*11*). The percentage of newborns covered by the newborn screening program also increased from 3.86% in 2003 to 59.01% in 2009 (*11*). In addition to these laws and regulations, specific measures and regulations regarding PKU that have been

implemented in cities and provinces of China warrant attention.

#### 2.2. Implementation by government

Local government directives stipulating that newborn screening for PKU must be instituted helped to expand the coverage of newborn screening. Shanghai is one of first cities in China that instituted newborn screening for PKU; the percentage of newborns screened in Shanghai reached 97% in 2008 (19). In Guangzhou, the rate of newborn screening reached 99.0% and coverage by the city's Newborn Screening Network reached 99.3% in 2008 (20). One commonality of the measures and regulations regarding newborn screening in these two cities is that screening "must be instituted".

In 1996, the Standing Committee of the Shanghai People's Congress drafted Regulations on Maternal and Infant Health Care in Shanghai (21). According to article 35 of the Regulations, the Shanghai Government directed that a newborn screening program be instituted in the city. In Guangzhou, the Standing Committee of the Guangdong People's Congress also drafted Regulations on Management of Maternal and Infant Health Care in 1998 (22). Article 20 of Guangdong's Regulations stipulates that Guangdong Province must institute a newborn screening program and the Regulations also propose that screening include PKU testing. These two sets of regulations ensure that these areas are legally obligated to institute newborn screening including PKU testing. To some extent, implementation by government did enhance the rate of newborn screening for PKU in these areas.

#### 2.3. Follow-up services

Follow-up services also play an important role during

Year	Law or regulation	Enacted by	Features	Ref.
Nation	al laws or regulations			
1994	Law on Maternal and Infant Health Care	The Central People's Government of the People's Republic of China	Identified the importance of newborn screening for the first time in law.	(14)
2001	Measures Implementing the Law on Maternal and Infant Health Care in China	The Central People's Government of the People's Republic of China	Included newborn screening in maternal and infant health care services.	(15)
2009	Measures for the Management of Newborn Screening	National Health and Family Planning Commission of the People's Republic of China	Specified that PKU be screened for and provided a protocol for China's newborn screening program.	(16)
2009	Plans for a Newborn Screening Program	National Health and Family Planning Commission of the People's Republic of China	Specified the objectives of the newborn screening program.	(17)
2010	Technical Specifications for Newborn Screening	National Health and Family Planning Commission of the People's Republic of China	Seeks to improve the quality of PKU screening and test.	(18)
Local	laws or regulations			
1996	Regulations on Maternal and Infant Health Care in Shanghai	Standing Committee of the Shanghai People's Congress	Stipulatedthat the newborn screening program must be implemented in Shanghai.	(21)
1998	Regulations on Management of Maternal and Infant Health Care	Standing Committee of the Guangdong People's Congress	Stipulated that the newborn screening program must be implemented in Guangdong and proposed that screening include PKU testing.	(22)
2004	Working Proposal for Management of Maternal and Infant Health Care in Shanghai Major Projects and Components of Maternal and Infant Health Care in Shanghai	Health Bureauof the City of Shanghai	Indicated the time limit for PKU screening.	(23)
2006	Measures for the Management of Newborn Screening in Guangzhou	Health Department of Guangdong Province	Stipulated that children confirmed to have PKU can receive treatment for free until 8 years of age.	(26)
2007	Proposed Implementation of Newborn Screening for Genetic and Metabolic Diseases	Health Bureauof the City of Shanghai	Specified follow-up services for patients with PKU.	(24)

the process of newborn screening for PKU. The Health Bureau of the City of Shanghai drafted a Working Proposal for Management of Maternal and Infant Health Care in Shanghai and Major Projects and Components of Maternal and Infant Health Care in Shanghai in 2004 (23). In 2007, the Health Bureau of the City of Shanghai drafted measures for Proposed Implementation of Newborn Screening for Genetic and Metabolic Diseases (24). These directives specified aspects of newborn screening for PKU and follow-up services: i) 1-2 visits must be made within 28 days of birth; ii) DBS for PKU testing must be collected within 72 hours of birth and the DBS must be sent to a designated laboratory within 24 hours; *iii*) PKU testing must be performed by the laboratory within 2 days of receiving the DBS and screening results must be submitted within 5 days; iv) parents must be informed of potentially positive results within 1 day, the newborn must be brought to the laboratory for further testing, and final results must be submitted within 7-10 days. By offering these follow-up services, Shanghai has more effectively screened newborns for PKU, and follow-up services have contributed somewhat to the percentage of newborns covered by the screening program.

#### 2.4. Government coverage of expenses

Economic factors play an important role in newborn screening for PKU. HongKong has a screening rate higher than 95%, and the government covers expenses for newborn screening (25). The Health Bureau of the City of Guangzhou drafted its own regulations on newborn screening directing that children confirmed to have PKU can receive the treatment from the Newborn Screening Center of the City of Guangzhou for free until 8 years of age (26). According to a report by the Health Bureau of the City of Guangzhou, 15 patients with PKU had received free treatment prior to 2005, for a treatment rate of 100% (27). To some extent, government coverage of expenses can actually encourage parents to have their newborn screened. Experience in HongKong and Guangzhou may serve as a reference for other areas of China.

#### 3. Challenges faced by and prospects for measures and regulations regarding newborn screening in China

The number and percentage of newborns screened

for PKU in China has increased significantly thanks to national laws and regulations and specific local measures and regulations. These specific measures and regulations, such as those implemented by government, follow-up services, and government coverage of expenses, may serve as a reference and can be implemented in other areas. However, there still are some challenges faced by existing newborn screening, and more effective measures and regulations need to be implemented in China.

*Prenatal health examinations* Prenatal health examinations are expected to play an important role in the prevention of PKU. Effective prevention of birth defects can be classified into three levels: primary prevention (avoiding causes of congenital abnormalities), secondary prevention (early detection of congenital abnormalities), and tertiary prevention (surgical intervention to repair congenital abnormalities) (28). The most important stage, primary prevention, has not openly contributed to the fight against PKU. Fees for prenatal health examinations are now completely covered by the state (29), but there are no reports of PKU being detected during prenatal health examinations.

National financial support National financial support is still relatively weak. Fees for PKU screening and treatment can significantly influence whether parents have their newborn screened, and yet only 40% provinces of China cover some of the fees for newborn screening for PKU. Funds to cover fees come from the new rural Cooperative Medical System (CMS) (such as in Guangdong, Guizhou, and Sichuan) or government expenditures (such as in Shanghai and Jiangsu) (30). In addition, the existing policy of free treatment should also be improved. The revenue from newborn screening in Guangzhou has been used to treat patients with PKU for free, and yet the cost of free treatment is only 5.5% of actual revenue (27). The duration of subsidized treatment could be lengthened from 8 years of age to a longer period.

In conclusion, the number and percentage of newborns screened for PKU in China increased significantly after 1981. Experience with implementation by government, follow-up services, and government coverage of expenses may benefit other areas in China. However, prenatal health examinations and financial support are expected to play a more significant role in newborn screening for PKU in the future.

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# Review

## Acute liver failure and liver transplantation

#### Nobuhisa Akamatsu<sup>1</sup>, Yasuhiko Sugawara<sup>2,\*</sup>, Norihiro Kokudo<sup>2</sup>

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Summary Acute liver failure (ALF) is defined by the presence of coagulopathy (International Normalized Ratio ≥ 1.5) and hepatic encephalopathy due to severe liver damage in patients without pre-existing liver disease. Although the mortality due to ALF without liver transplantation is over 80%, the survival rates of patients have considerably improved with the advent of liver transplantation, up to 60% to 90% in the last two decades. Recent large studies in Western countries reported 1, 5, and 10-year patient survival rates after liver transplantation for ALF of approximately 80%, 70%, and 65%, respectively. Living donor liver transplantation (LDLT), which has mainly evolved in Asian countries where organ availability from deceased donors is extremely scarce, has also improved the survival rate of ALF patients in these regions. According to recent reports, the overall survival rate of adult ALF patients who underwent LDLT ranges from 60% to 90%. Although there is still controversy regarding the graft type, optimal graft volume, and ethical issues, LDLT has become an established treatment option for ALF in areas where the use of deceased donor organs is severely restricted.

*Keywords:* Acute liver failure, treatment, liver transplantation, living donor liver transplantation (LDLT), optimal graft volume

#### 1. Introduction

Acute liver failure (ALF), sometimes called "fulminant hepatic failure" or "acute hepatic necrosis", is defined by the presence of coagulopathy (International Normalized Ratio  $\geq 1.5$ ) and hepatic encephalopathy due to severe liver damage in patients without preexisting liver disease (1-4). The clinical symptoms are complicated, and include cerebral edema, coagulopathy, renal failure, metabolic disturbance, hemodynamic instability, and susceptibility to infection. Until recently, ALF has had a high mortality rate (widely reported to be > 80%) (5), but with the improved recognition of this entity, more aggressive medical therapy, intensive care, and especially the advent of orthotopic liver transplantation (OLT) as a radical treatment option, the

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survival rate has considerably improved (2,6-10).

Here we review ALF with a focus on OLT for adult ALF patients, to describe the importance of OLT in the treatment of this critical disease entity. Additionally, living donor liver transplantation (LDLT) for ALF is separately reviewed.

#### 2. Overview of acute liver failure

#### 2.1. Definitions

In 1970, ALF was classically defined as fulminant hepatic failure in patients with no prior liver disease in which rapidly deteriorating hepatocellular function ensued with associated encephalopathy within 8 weeks of the initial presentation (11). The syndrome was redefined by O'Gradey *et al.* in 1993, who used the term ALF to describe a clinical syndrome in which encephalopathy occurs between 8 and 28 days after the onset of jaundice (12). They suggested further subclassification comprising three distinct syndromes depending on the jaundice-to-encephalopathy time interval, thus categorizing liver failure as hyperacute

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(onset within 1 week), acute (between 8 days and 4 weeks), and subacute (between 29 days and 12 weeks). This sub-classification reflects not only the cause of the disease and probable complications, but also the differences in the survival rate for these groups, with the hyperacute group paradoxically having the best prognosis (*13*).

#### 2.2. Epidemiology and etiology

ALF is rare and represents a syndrome, rather than a specific disease, with multiple causes that vary in course and outcome, making its precise incidence difficult to establish. Reports from developed countries suggest an overall incidence of between 1 and 6 per million people per year (7,14,15). A recent nationwide survey in Japan revealed an incidence of 0.9 per million people per year (16). It was once estimated to account for 0.1% of all deaths and 6% of all liver-related deaths in the United States (17).

The etiology of ALF varies widely depending on geographic location, patient profile, and year of the report. Whereas in a historical series from the 1980s, viral hepatitis was the most common etiology of ALF in the United States (18), the results of a recent multicenter study of ALF identified acetaminophen overdose as the most frequent cause in the United States (46% of cases) (3) as well as in European countries (7). On the other hand, in Africa and Asia, viral hepatitis remains the leading cause of ALF, and cases resulting from hepatitis E as well as hepatitis A and B are common (16, 19, 20). Table 1 shows the various etiologies in the United States, Europe, Japan, and other countries (3, 7, 16, 20-24).

Identification of the cause of ALF is critically important because the etiology influences the prognosis and management (25), with outcome being much better for patients with ALF associated with acetaminophen, pregnancy, or hepatitis A (> 50-90%) than for those with seronegative hepatitis, idiosyncratic drug reactions, or Wilson's disease (< 10-20%) (9). On the other hand, the etiology of ALF remains indeterminate or unknown in approximately 14%, 33%, and 30% of cases in the

Table 1. Etiologies	of acute	liver failure	worldwide
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United States, Europe, and Japan, respectively.

#### 2.3. Clinical features and their management

Acute liver failure frequently causes multiple organ failure, while the initial presenting symptoms are usually non-specific, and include fatigue, malaise, anorexia, nausea, abdominal pain, fever, and jaundice (26). These symptoms finally lead to the development of encephalopathy and/or coma, although the rates of progression vary case by case, which necessitates urgent decision making regarding the use of the only effective treatment for this disease entity; emergent liver transplantation (27,28).

#### 2.3.1. Encephalopathy and cerebral edema

The most lethal complication associated with ALF is the development of encephalopathy and cerebral edema, the mechanism and the actual percentage of which are still poorly understood (29). Recent clinical and experimental studies suggest an important role for the increased concentrations of circulating neurotoxins, especially, ammonia (30), with reports of a relation between the development of high grades of encephalopathy and arterial ammonia concentration (31,32). Cerebral edema occurs in nearly 80% of patients who progress to Grade 4 hepatic encephalopathy, leading to intracranial hypertension with subsequent irreversible ischemic brain damage or brainstem herniation, and accounting for up to 50% of ALF mortality (33,34). Intracranial pressure (ICP) should be monitored directly and more aggressively managed in patients who are deemed candidates for liver transplantation (35,36). Cerebral perfusion pressure, defined as systemic blood pressure minus ICP, must be maintained above 40 mmHg. Classical treatments to reduce ICP comprise sedation (37), hypertonic saline (38), and mannitol (39). In patients with increased ICP resistant to standard medical therapy, mild to moderate hypothermia (32-33°C) is also effective as a bridge to liver transplantation (40).

	Drug-induced (%)		Viral (%)				
Contries and regions	Acetaminophen	Non-acetaminophen	HAV	HBV	HEV	Unknown (%)	Other (%)
USA 1998-2007 (3)	46	11	3	7		14	19
Europe 2004-2009 (7)	14	12	19			33	22
UK 1999-2008 (21)	57	11	2	5	1	17	7
Germany 1996-2005 (22)	15	14	4	18		21	28
Japan 2004-2009 (16)	1	5	3	40		30	12
Korea 2000-2009 (23)		9	15	29		10	17
India 1989-1996 (24)	0	1	2	15	44	31	7
Sudan 2003-2004 (20)	0	8	0	22	5	38	27

Abbreviations: HAV, hepatitis A virus; HBV, hepatitis B virus; HEV, hepatitis E virus.

High-flow hemodiafiltration, using a high-performance polysulfone membrane coupled with plasma exchange with 40 to 50 units of fresh frozen plasma (FFP) per session is also useful for improving coma status or protecting against irreversible brain damage in patients scheduled for liver transplantation (41).

#### 2.3.2. Coagulopathy

Because the liver plays a central role in the synthesis of almost all of the coagulation factors, severe coagulopathy is always encountered in ALF patients. Coagulopathy occurs not only due to the decreased synthesis of clotting factors, but also to an increase in peripheral consumption and at least some degree of disseminated intravascular coagulation and thrombocytopenia (42), which sometimes results in spontaneous hemorrhage, *i.e.*, from the gastrointestinal tract or cerebrovascular system (43,44). In contrast, there are abnormalities in both the coagulation and fibrinolytic pathways and recent data suggest the defects are balanced, that is, there is a relative preservation of hemostasis unless the platelet count is extremely low (45,46). Theoretically, prophylactic treatment with FFP in the absence of bleeding is unadvised for two reasons; first, the decrease in prothrombin time after FFP administration decreases the accuracy with which prognosis can be judged and second, FFP administration results in a volume load that might deteriorate renal function and increase ICP (25,47). In clinical practice, however, FFP infusion and platelet transfusion are sometimes inevitable, especially before invasive procedures, and correction of coagulopathy should be determined on a case by case basis (28).

#### 2.3.3. Renal failure and metabolic abnormalities

Many factors encountered in ALF patients, such as the direct toxic effect of an ingested agent, volume depletion, and systemic hypoperfusion, co-existing sepsis, and hepatorenal syndrome can cause acute renal failure (48). Acute renal failure complicates ALF in 40% to 50% of cases and is significantly associated with a poor prognosis (49-51). When dialytic support is required, continuous hemodialysis is preferable to intermittent support to maintain the hemodynamic stability and decrease the cerebral perfusion pressure (52). Hypoglycemia due to decreased glycogenolysis and gluconeogenesis, and metabolic acidosis are often encountered as a result of massive liver necrosis (53).

#### 2.3.4. Cardiopulmonary and hemodynamic complications

Cardiopulmonary complications and hemodynamic instability are conspicuous clinical sequelae of ALF, characterized by vasodilation, low systemic vascular resistance, and hypotension, with a compensatory increase in cardiac output (48). This hemodynamic status results in lower cerebral perfusion pressure, worsened encephalopathy, and pulmonary edema, which often preclude liver transplantation (27). Treatment goals are to perform volume resuscitation, provide supportive care with vasopressors, and increase oxygenation by mechanical ventilation (25,28).

#### 2.3.5. Infection

The milieu of inflammation and necrosis of the liver predispose ALF patients to the development of infection, which means that patients with ALF are functionally immunosuppressed. Bacterial or fungal infection, particularly of the respiratory and urinary tracts, is common (occurring in up to 80% of patients), which worsens encephalopathy (54), and is the cause of death in 10% to 37% of cases (55-58). Bacteremia is observed in 20% to 80% of ALF patients (2). Additionally, patients with ALF are at substantial risk for sepsis caused by reticuloendothelial dysfunction and decreased opsonization (59). The mortality rate of patients with sepsis and septic shock is 59% and 98%, respectively (60). The empiric use of antibiotics, however, is controversial. Prophylactic antibiotics decrease the number of infections, but they do not alter the overall outcome, and may result in frequent fungal infections (56). Fungal infection, particularly Candida albicans, develops in up to one-third of ALF patients, and is a poor prognostic sign associated with high mortality, thereby precluding liver transplantation (55,58). Serial blood cultures for bacteria and fungi should be performed, and the administration of anti-infectives (both antibacterial and antifungal) is recommended for patients with significant isolates on surveillance cultures, refractory hypotension, or clinical evidence of systemic inflammatory response syndrome (28).

#### 2.4. Prognostic scores and indication for liver transplantation

The most commonly used criteria to absolutely exclude ALF patients from liver transplantation, although these vary by transplant center, include age older than 70 years; certain malignancies outside of the liver; severe cardiac, lung, or multiple organ failure; severe infection; uncontrolled septic shock; and brain death (*61*).

The essentials for selecting patients for liver transplantation are the accurate identification of those in need, as well as those who will benefit from liver transplantation. Generally, there are two approaches to listing patients with ALF for liver transplantation. The first is to use some set of indicators of a poor prognosis without liver transplantation, and the second is to list all patients with encephalopathy and make the decision at the time a donor organ becomes available (9).

It is critical to promptly and accurately identify those patients most likely to benefit from emergent liver

Variables	King's College Criteria non-Acetaminophen	King's College Criteria Acetaminophen	Clichy	MELD	APACHE II	Japanese guideline
Age	×		×		×	×
Etiology	×					
Encephalopathy		×	×		×	×
Arterial pH		×			×	
Onset of encephalopathy	×					×
Factor V			×			
PT-INR	×	×		×		×
Serum creatinine		×		×	×	
Serum bilirubin	×			×		×
Direct/indirect bilirubin ratio						×
Serum sodium and potassium					×	
White blood cell count					×	
Hematocrit					×	
Serum alanine aminotransferase						
Serum cholinesterase						
Vital signs (BT, BP, HR, RR)					×	
Oxygenation					×	

Abbreviations: APACHE, acute physiology and chronic health evaluation; BT, body temperature; BP, blood pressure; HR, heart rate; MELD, model for end-stage liver disease; PT-INR, prothrombin time-international normalized ratio; RR, respiratory ratio.

transplantation, as overall only 15% to 20% of ALF patients survive spontaneously (5,62,63). The risks of emergent transplantation in the context of deteriorating multiple organ failure must be balanced against the possibility of survival with continued medical supportive care alone. In addition, early recognition of irreversible ALF is important so that transplantation can be performed before the complications of ALF contraindicate transplantation. Because of the current organ scarcity, short-term risks of surgery, and requirement of lifelong immunosuppression, it is equally important to recognize reversible ALF so as not to perform unnecessary transplantations (*64*).

Many prognostic scoring systems have been reported worldwide (50,65-70). The major prognostic models listed in Table 2 are extracted from our previous report (71). Based on separate cohorts examining the accuracy of these models, the King's College Criteria are superior and the use of this prognostic model is prevalent worldwide (62,72). Two meta-analysis have been performed on the King's College Criteria. One included 18 studies with 1105 patients and yielded an overall specificity of 93% and sensitivity of 88% (73). The other meta-analysis included 14 studies with 1960 patients and yielded a specificity of 95% and a sensitivity of 58% (74). Three studies evaluated MELD score, which has been introduced and widely validated for the prediction of prognosis of chronic liver disease, in comparison with the King's College Criteria (66,75,76). One of the studies found that the MELD score was superior to the King's College Criteria (66). A recently described modification of the MELD score replaced bilirubin with cytokeratin 18 (a marker of cell injury), which yielded peak sensitivity and specificity of 81% and 82%, respectively, in 68 consecutive

patients with ALF due to various etiologies (77). None of the currently available prognostic scoring systems, however, consistently demonstrates reliable accuracy in predicting the outcome of ALF and the subsequent need for liver transplantation. Therefore, the American Association for the Study of Liver Diseases recommends that none of these systems be relied on independently, and that all ALF patients with encephalopathy be considered for transplantation (25).

Among the factors listed in Table 2, the most important factors for predicting survival from ALF are the degree of encephalopathy, patient age, and the cause of ALF (1). Other factors reported to be associated with the prognosis of ALF include severity of systemic inflammatory response syndrome (54,60,78), serum alpha fetoprotein levels (79), serum phosphate levels (80,81), findings from computed tomography scans of the liver (82-84), 99mTc-GSA (85), cytokine levels (86), and serum lactate levels (87-89).

#### 3. Liver transplantation for acute liver failure

Liver transplantation, the only proven therapy for ALF, has revolutionized the management of ALF. ALF accounted for 8% of indications for liver transplantation in Europe during 1988-2009 (7), and 7% in the US during 1999-2008 (90). With the advent of OLT as a treatment option, survival rates of ALF patients have improved considerably, up to 60% to 92% in large centers over the last two decades (3,7,8,63), which is remarkable given that the rate was below 20% before the OLT era (5). Although the survival rate of OLT for ALF patients was previously lower than that for other diseases (91,92), a recent report demonstrated comparable results of OLT for ALF and chronic liver diseases (3). Even in Western countries where OLT

with deceased donors is aggressively performed for ALF patients, death without transplantation occurs in 20% to 30% of cases (3,93). According to a multicenter study, even when patients with ALF are managed in large-volume centers, the OLT performance rate ranges between 41% to 72%, and the median wait time for a graft is 5 days (94). This is due to the rapid progression of ALF and persistent organ shortages.

Current results of OLT for ALF are satisfactory considering the natural history of this disease entity, the multi-organ involvement, the emergent context, and the lack of other effective treatments. To date the 1-year and 5-year patient survival rates documented in several studies range between 74% and 81%, and 61% and 76%, respectively (7,63,93,95-98), and two studies reported 10-year patient survival rates of 69% and 63% (7,97).

Multiple factors influence the outcome after liver transplantation. In some centers, the etiology of the underlying disease correlates with outcome. The best results were achieved for Wilson's disease and the worst for idiosyncratic drug reactions (15,93). Recipient age has a considerable impact on the outcome of liver transplantation for ALF, with postoperative mortality more than doubled in those over 50 years of age (93,95). Studies over United Network for Organ Sharing (UNOS) database (98) and European Liver Transplant Registry (ELTR) database (7) found that age over 50 is an independent risk factor for impaired outcome. The third main factor that determines outcome is the quality of the graft used. Impaired outcomes occur in recipients receiving size-reduced (7,93,99), ABO-incompatible (7,93,99), or steatotic grafts (63,93). Impaired graft function is poorly tolerated in acutely sick recipients and might predispose to the development of sepsis – the main cause of postoperative death. In earlier reports of emergent liver transplantation for ALF, graft quality was sacrificed to avoid waiting list mortality, which resulted in the primary non-function rates as high as 13% (96). Higher risk grafts, such as severely steatotic or partial grafts, result in worse survival in ALF. The use of ABO-incompatible grafts is most frequently reported for liver transplantation for ALF, which ranges from 13% to 29% and is associated with worse outcomes, including impaired patient/graft survival and complications (63,96,100-103).

Four risk factors were identified following an analysis of 1457 recipients who underwent liver transplantation for ALF in the US, a BMI > 30, serum creatinine > 2 mg/dL, recipient age over 50 years, and a history of life-support (98). A recent large study from ELTR among 4903 recipients who underwent liver transplantation for ALF found that recipient age > 50 years, ABO-incompatible transplant, acetaminophen-related ALF, ALF due to other known causes, and reduced-size graft were independent risk factors for patient/graft survival (7).

# 4. Living donor liver transplantation for acute liver failure patients

Organ scarcity is serious in Asia, where the deceased donor liver transplantation (DDLT) rate remains below 5 per million population (104). According to a recent report in Japan (16), only 24% of ALF patients underwent liver transplantation, and death without transplantation occurred around 40%, and more than 98% of liver transplants are from living donors, although organ procurement from brain-dead donors was legalized in 1997. Recently, the Intractable Liver Diseases Study Group of Japan reported that the overall 1-year, 5-year, and 10-year survival rates of ALF patients after LDLT were 79%, 74%, and 73%, respectively, in Japan (105).

LDLT for ALF was initially performed only in children, but since the first report of successful LDLT for adult ALF patients by Kato and colleagues (106), LDLT has become widely accepted as the alternative treatment of choice for adult ALF patients, mainly in Asian countries, but also in Western countries (8). Controversy remains regarding LDLT itself in regions where organs from deceased donors are fairly available, especially under urgent situations, but LDLT seems to have become an established treatment for ALF patients in Asia.

Although it has been suggested that the results of emergent LDLT are inferior to those of elective transplantation (107), there are as yet no conclusive data indicating that emergent LDLT is an ineffective or inferior treatment compared to emergent DDLT or elective liver transplants. Compared with cadaveric liver grafts, live donor grafts offer distinct advantages in that patients can receive a graft relatively early and graft function is excellent because the cold ischemia time is short if an adequate graft size is obtained (108). Large-volume centers report that outcomes of LDLT and DDLT are comparable for ALF patients (23,109-116). The timely availability of a liver graft in LDLT should be emphasized, because in the LDLT series for ALF reviewed here there has never been a neurologic death secondary to severe hepatic encephalopathy, except for two cases of the Seoul group (110), in contrast to the relatively high incidence of recipient death due to encephalopathy in the DDLT setting (117). Yet, beyond these results, many ALF patients without an appropriate donor have died, and, in the future, an increased prevalence of DDLT donors is expected in Asian countries (16,105). Considering the burden on live donors, LDLT will never replace DDLT where cadaveric organs are fairly available, but the addition of LDLT to a DDLT program may further improve the management of ALF, even in Western countries (108).

#### 4.1. Donor and graft selection

LDLT should be considered only in situations in which

the risk to the donor is justified by the expectation of an acceptable outcome in the recipient. The potential live organ donor should be an adult who is mentally competent, willing to donate, free from coercion, medically and psychosocially suitable, and fully informed of the risks, benefits, and alternative treatments available to the recipient (118). Apart from the medical workup of the donor and volumetric/ anatomic evaluation of the graft, ethical matters should be especially emphasized in urgent LDLT situations, such as for ALF, because donors do not have adequate time for contemplation and reflection, and most donors are likely to be close relatives who are influenced by the imminent death of the patient (119). Ethical issues include ensuring donor safety, avoiding donor coercion, and determining whether adequate informed consent can be obtained in this highly charged emergent setting. Donor safety, of course, is the primary measure of success. The ethical justification for LDLT cannot be based on the absence of donor complications and deaths because that is an unattainable goal. Therefore, its justification is dependent on continuous demonstration of overwhelming recipient benefit and reasonable donor safety (108,120).

Most countries consider 18 or 20 years to be the minimum age for independent decision-making regarding organ donation, but the upper age limit of the live donor differs by center, from 55 to 65 years (107,110-112). Similarly, the politics regarding the requisite relationship between the donor and recipient vary widely around the world according to different political and cultural standards. The relation to the recipient is usually limited to within the second or third degree of consanguinity (121); some countries, however, require no familial relation between the donor and recipient (122).

Selection of the graft type and appropriate graft size are critical problems in LDLT for adult ALF patients, in terms of both recipients and donors. It has been postulated that, because ALF is not associated with pre-existing portal hypertension, patients with ALF may tolerate smaller grafts than patients with chronic liver disease. In favor of the recipients outcome, to minimize the problem of a small-for-size graft, many centers have adopted the use of right liver grafts (*123*), although complications are much more common in those donating a right liver than a left liver (*124,125*). Generally, the lower limit of a live donor graft is set at 35% to 40% of the recipient standard liver volume (SLV) or at 0.8% to 1.0% of a graft-to-recipient weight ratio (GRWR).

The estimated risk of mortality and morbidity currently associated with live donor hepatectomy is 0.4% and 35%, respectively (*126*). Although surgeons should always try to decrease both morbidity and mortality, the present level of donor risk is considered acceptable in public opinion (*127*).

#### 4.2. Reports from high-volume LDLT centers

Recently, Yamashiki *et al.* (105) performed a Japanese nationwide survey on 209 recipients who underwent LDLT for ALF. LDLT was performed a median of 4 days after the onset of encephalopathy. The right liver was utilized in 105 cases (50%), while the left liver was used in 99 cases (47%). The 1-year, 5-year, and 10-year survival rates were 79%, 74%, and 73%, respectively. Prognostic factors associated with patient survival were older recipient, older donor, and ABO-incompatible transplant.

The Kyoto group (107) reported 34 LDLT for ALF patients, including 15 adults. Among the 15 adult recipients, 3 received auxiliary partial orthotopic liver transplants (APOLT), 8 received a right liver graft, and 4 received a left liver graft. The minimum GRWR was 0.8%, but the optimal estimated GRWR was 1.0%. If the GRWR of the left liver was less than 0.8%, APOLT was considered. The median time from referral to transplant was 2.0 days (range 0-7 days). The overall 1-year and 3-year survival rate of this population, including 3 APOLT cases and the pediatric cases, was 59%.

The Kyushu group (*111*) primarily chose the left liver graft if it was over 35% of the recipient SLV. The right liver graft without the middle hepatic vein was otherwise chosen when the remnant liver volume was greater than 35% of the total liver volume of the donor. LDLT was performed in 42 ALF patients, including 3 pediatric cases and 39 adult cases (31 with left liver and 8 with right liver). The left liver grafts weighed 442 g (range 260-750 g), representing 42% (range 23-64%) of the SLV of recipients, and the right liver grafts weighed 605 g (range 505-735 g), representing 51% (range 37-57) of the SLV of recipients. The mean interval from onset to LDLT was 5 days. The overall 1-year, 5-year, and 10-year patient survival rates were 80%, 68%, and 68%, respectively.

The Tokyo group (*112*) utilized a right liver graft, a left liver graft, and a right lateral sector graft, with a lower limit of 40% of the recipient SLV, provided that the remnant liver volume of the donor over 30% of the total liver volume. LDLT was performed in 36 ALF patients, including 4 pediatric patients, with 18 right liver grafts, 16 left liver grafts, and 2 right lateral sector grafts. The cold ischemic time was 1.9 h (range 0.3-4.2 h). The weight of the grafts was 503 g (range 276-777 g), which corresponded to 46% (range 22-75%) of the recipient SLV. The overall 1-year and 5-year patient survival rates were 94% and 87%, respectively.

The Hong Kong group (109) emphasized the advantage of right liver grafts for ALF patients. Although they reported successful LDLT for an ALF patient with a minimum graft volume (25% of the estimated SLV, GRWR of 0.6%), this case suffered from massive ascites and required a long hospital stay (128). Based on their experience with this small-for-

size graft in an ALF case, they reported that the graft should be the donor's right lobe larger than 40% of the recipient SLV, and that the remnant donor's left liver should be greater than 30% of the total liver volume. LDLT was performed in 16 adult patients with ALF with extended right liver grafts from a family member. The median time from listing to transplant was 2 days (range 0.6-9 days) for ALF patients. The median cold ischemia time was 2.2 h (range 1.3-3.7 h). The grafts weighed 615 g (range 430-950) and represented 48% (range 39-89%) of the estimated SLV of the recipients. The overall 1-year survival rate was 88%.

The Seoul group (23,110,129) used a left liver graft, right liver graft (without middle hepatic vein), and a dual graft to achieve a GRWR of at least 0.8, provided that the remnant left liver of the donor was over 30% of the total liver volume. The dual graft comprised two left liver grafts or a combination of right and left hemiliver grafts. LDLT was performed in 124 patients with ALF (median age 40 (range 28-49)), including 100 cases with a right liver graft. The median GRWR was 1.0 (range 0.9-1.2). The median interval from admission to LDLT was 4 days (range 2-7 days). The overall 1-year and 5-year patient survival rates were 79% and 75%, respectively.

The US A2ALL study group recently reported the results of LDLT for ALF (*130*). Of 1201 LDLT candidates, LDLT was indicated for ALF in only 14 cases (1%). Among those, 10 finally received LDLT, 3 received DDLT, and 1 spontaneously recovered and was removed from the waiting list. The overall survival rate for LDLT was 70%.

#### 5. Conclusion

The advent of liver transplantation has dramatically improved the outcome of patients with ALF, but ALF remains both a challenging and still-too-common cause of mortality. Although numerous medical therapies have been investigated, liver transplantation is the only proven effective treatment for ALF patients. LDLT has remarkably improved the overall survival rate of ALF patients living in regions where organ donation from brain-dead donors is extremely scarce, achieving an outcome comparable to that of DDLT. LDLT might also be valuable to increase the donor pool in Western countries.

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# Review

# Idiopathic pulmonary fibrosis: The current status of its epidemiology, diagnosis, and treatment in China

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Summary Idiopathic pulmonary fibrosis (IPF) is a type of intractable and rare disease, and its epidemiology in China is still unclear. The diagnosis and treatment of IPF has received considerable attention and two editions of guidelines on IPF diagnosis and treatment have been published by the Chinese Society of Respiratory Diseases. Treatment of IPF with Traditional Chinese Medicine (TCM) has been widely investigated in China and several types of TCM extracts are reported to be effective in animal models. One effective treatment is lung transplantation; this treatment has been successfully performed in China, yielding satisfactory long-term survival.

Keywords: Prevalence, guideline, therapy, Traditional Chinese Medicine, lung transplantation

#### 1. Introduction

Idiopathic pulmonary fibrosis (IPF) is a rare disease characterized by chronic, progressive fibrosing interstitial pneumonia of unknown etiology. Although the exact morbidity is still unclear due to the lack of large-scale studies, IPF is reported to have no distinct geographical or ethnical distribution (1). Because of China's huge population, the country is estimated to have the largest number of patients with IPF. IPF is a type of intractable disease. No specific pharmacologic therapies to treat IPF have been found to date. IPF is progressive, irreversible, and associated with an extremely poor prognosis. The pulmonary function of patients with IPF will progressively deteriorate as the disease progresses, and patients have a median survival time of 2 to 3 years (2). The current article briefly reviews the current status of IPF epidemiology, diagnosis, and treatment in China.

#### 2. Search strategy

Wanfang Database, an electronic database of Chinese medicine, was searched using the keyword "idiopathic

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pulmonary fibrosis" combined with "diagnosis" or "treatment" or "epidemiology" to identify all relevant literature published in Chinese journals since January 1980. The full text of each article was reviewed and the article was selected if pertinent.

#### 3. General condition and epidemiology

The first article about IPF in Chinese academic journals was a description of the condition in Japan in 1980 (3). The first Chinese case was reported in 1981 (4). IPF was first known as Hamman-Rich syndrome and then later known as cryptogenic fibrosing alveolitis (CFA) before its current appellation of IPF. The terms CFA and IPF have both been used in China but IPF is now preferred.

Different studies have reported a varying prevalence of IPF from 1.25 to 27.9 cases per 100,000 (5-12), as shown in Figure 1. A study in the United Kingdom estimated that the incidence of IPF increased by 11% annually from 1991 to 2003 (13).

Most data on IPF were from North America, Europe, and Japan. To date, no epidemiological studies of IPF have been conducted in China and the exact prevalence of IPF in China is still unknown. There is very little documentation on the epidemiology of rare diseases in China because there are no systems to register rare diseases (14). This is partly because of the delays in Chinese epidemiology, unbalanced economic development, and China's large population. Another key reason for the lack of information on IPF in China is

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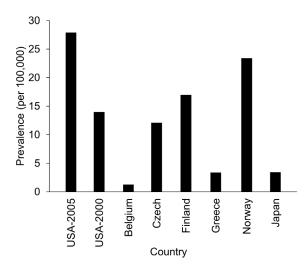


Figure 1. Prevalence of idiopathic pulmonary fibrosis in different countries and according to different studies.

because the former gold standard for IPF diagnosis (15), a surgical biopsy, is not readily accepted in China. To Chinese patients, the risk of diminished health caused by a diagnostic procedure is greater than the benefit of a surgical biopsy. Because of the absence of effective treatments, Chinese patients prefer experimental therapy to a diagnostic procedure.

Some epidemiological characteristics of IPF can be ascertained indirectly from several studies in China. A study (16) conducted by the Chinese Society of Respiratory Diseases at ten hospitals found that those hospitals treated 697 cases of IPF from 1990 to 2003; these cases accounted for about 25.5% of all cases of diffuse interstitial lung disease (DILD). In another multicenter study in Tianjin (17), patients with DILD accounted for about 39.5% of patients in Respiratory Medicine and 1.51% of all inpatients in 2009. Some epidemiologic characteristics of IPF in China have been noted, such as one diagnostic criterion listed in the 2002 guidelines for the diagnosis and treatment of IPF from the Chinese Society of Respiratory Diseases (18). IPF usually develops past middle age and males are more susceptible than females at a rate of about 2 to 1. IPF is rarely seen in children. Smoking (19) and environmental factors, like metal and wood dust exposure (20), have been reported to be major risk factors for IPF. Smoking is strongly associated with IPF, particularly for individuals with a history of smoking more than 20 cigarettes a day over 20 years (20 pack-years). China is known to have the largest population of smokers. According to one study in 2002, the prevalence of smoking among males was 66% and the prevalence of smoking among females was 3.08% (21). As the largest developing country, labor protections are still developing in China and the risk of metal and wood dust exposure remains high. Thus, China will have a higher incidence of IPF compared to developed countries, and further large-scale epidemiologic studies are needed.

#### 4. Diagnosis

Usual interstitial pneumonia (UIP) is the characteristic pattern of IPF. UIP has distinctive findings in both pathological and radiological examinations. However, a number of other conditions can also cause the changes associated with UIP. The most common are connective tissue disease and certain drug toxicities. Before a diagnosis of IPF is made, these conditions should be ruled out.

For a long time, there was debate about whether evidence of UIP in a radiological examination could be used to diagnose IPF without pathological confirmation. In China, the first paper on the diagnosis of IPF was published in 1984 (22). In this paper, the author posited that a surgical lung biopsy was not necessarily needed for patients suspected of having IPF. In a trial version of IPF guidelines drafted by the Chinese Society of Respiratory Diseases in 1994 (23), both pathological and radiological evidence of UIP were considered diagnostic criteria. The guidelines deemed pathological evidence of UIP to be confirmed IPF and they deemed radiological evidence of UIP to be clinically diagnosed IPF. The guidelines recommended that both be treated for IPF.

In 2002, the Chinese Society of Respiratory Diseases revised its guidelines. In the new guidelines (18), the criteria for pathologically diagnosed IPF did not change but the criteria for clinical diagnosis of IPF did change. Four major diagnostic criteria and four minor diagnostic criteria were listed. The major criteria included ruling out of other possible diseases based on the patient's medical history, restrictive ventilatory functional disturbance or gas interchange disturbance, radiological evidence of UIP and ruling out of other diseases based on bronchoalveolar lavage fluid (BALF) or a transbronchial lung biopsy (TBLB). The minor criteria included being over 50 years of age, a history of symptoms for three months or longer, insidious onset of unexplained dyspnea on exertion, and bibasilar inspiratory ("Velcro") crackles. All four major diagnostic criteria and at least 3 minor criteria should be met

Performing a lung biopsy when IPF is suspected has not been readily accepted in China because of the potential for IPF to worsen, the invasiveness of the procedure, and its cost. Although the exact rate of lung biopsies in China has not been reported, it is estimated to be lower than 10%. Nearly all reports of IPF involved the clinical diagnostic criteria in the guidelines drafted by the Chinese Society of Respiratory Diseases. Only a few reports of surgically diagnosed IPF described a pathological diagnosis reached incidentally as a result of surgery to treat another disease, such as spontaneous pneumothorax. One study reviewed the ability of high-resolution computed tomography (HRCT)-guided lung needle biopsy to diagnose IPF. The technique had an accuracy of 76.2% (24). Another study (25) retrospectively analyzed 39 cases of IPF and compared the 2002 guidelines of the Chinese Society of Respiratory Diseases and 2000 guidelines of the American Thoracic Society (ATS); the study noted that the guidelines coincided at a rate of 84.6%.

In the West, the first guidelines for IPF were drafted by the ATS, European Respiratory Society (ERS), and the American College of Chest Physicians (ACCP) in 2000 (15). These guidelines recommended a surgical lung biopsy for most patients to reach a correct diagnosis. However, one study (26) found that the radiological pattern of UIP was nearly always consistent with pathological evidence of UIP. Thus, the guidelines on IPF drafted by the ATS, ERS, the Japanese Respiratory Society (JRS), and the Latin American Thoracic Association (ALAT) in 2011 no longer recommend a surgical lung biopsy for patients with a UIP pattern on HRCT (27).

#### 5. Treatment

#### 5.1. Pharmacological therapies

Because of its unknown pathogenesis, most pharmacologic treatments of IPF assume that IPF is caused by inappropriate inflammation and subsequent injury and fibrosis of pulmonary alveoli. Thus, corticosteroids, immunosuppressive or cytotoxic agents, and antifibrotic agents have long been used to treat IPF. To date, however, pharmacologic therapies to treat IPF have failed to offer promise.

The 1994 trial guidelines for the diagnosis and treatment of IPF (23) recommended corticosteroids as a first-line therapy and immunosuppressive or cytotoxic agents were recommended as a second-line therapy. Because of the possible side effects of these drugs, personalized therapy and timely dose adjustment according to test results were also recommended. After several years of clinical use, these regimens were found to temporarily improve symptoms but not improve long-time survival. The 2002 guidelines of the Chinese Society of Respiratory Diseases (18) recommended a combination of corticosteroids with immunosuppressive or cytotoxic agents. Courses of treatment and indices of their effectiveness were also described. The revised guidelines also mentioned many types of new drugs, like N acetylcysteine,  $\gamma$  interferon, colchicine, erythromycin, and pirfenidone. Because of the lack of clinical evidence, however, they were not recommended.

After years of clinical experience and advances in evidence-based medicine, the 2011 guidelines on IPF diagnosis and treatment from the ATS (27) indicated that corticosteroid monotherapy, colchicine, acetylcysteine,  $\gamma$  interferon, bosentan, etanercept, and the combination of corticosteroids with immunosuppressive agents were not recommended. Only acetylcysteine combined with corticosteroids and azathioprine, acetylcysteine monotherapy, anticoagulant monotherapy, and pirfenidone monotherapy were recommended in a minority of IPF cases.

Traditional Chinese Medicine (TCM) in the form of both herbal compounds and single herb extracts has been reported to have some effect on IPF (28). The 1994 trial guidelines for the diagnosis and treatment of IPF recommended TCM therapy as an experimental therapy (23). TCM is a reflection of the Chinese people's long struggle against disease. TCM is readily accepted in China and nearly all patients with IPF had received TCM therapy at one point. Because there are no largescale, multicenter, randomized, double-blind, paralleltreatment, placebo-controlled studies of the effects of TCM, its exact effects are still debated. TCM has not been readily accepted in the West because clear evidence of its pharmacological mechanisms is lacking. That said, the development of TCM extraction and purification techniques has resulted in several studies (29-41) reporting that some single herb extracts of TCM had some effect in animal models of IPF (Table 1).

#### 5.2. Oxygen therapy and palliative therapy

The patient's pulmonary function will deteriorate rapidly as IPF progresses. In the end stages, severe hypoxia will develop even when at rest. The 1994 trial guidelines for the diagnosis and treatment of IPF (*23*) recommended that patients with end-stage IPF not receive drug therapy but only oxygen therapy and palliative therapy. The 2011 guidelines of the ATS recommended long-term oxygen therapy for patients with IPF and clinically significant resting hypoxemia (*27*).

Because nearly all patients will develop severe hypoxia in the end stages of IPF, oxygen therapy and palliative therapy are crucial. However, there are still no Chinese guidelines on the indications for oxygen therapy, inhaled oxygen flow, and the duration of oxygen therapy. One study (42) retrospectively analyzed 5 patients with end-stage IPF who received oxygen therapy. The study found that high-flow oxygen therapy was not suitable and the oxygen flow needed to be adjusted depending on blood oxygen saturation. In China, however, most patients with end-stage IPF receive oxygen therapy at home. They would have difficulty monitoring their blood oxygen saturation. Thus, instructing patients with end-stage IPF in use of home oxygen therapy is still a major problem.

#### 5.3. Lung transplantation

Lung transplantation is one form of highly effective treatment for many types of end-stage lung disease. Lung transplantation has made great advances in recent years. Lung transplantation to treat IPF first resulted in successful long-term survival in 1983 (43). Since then, IPF has become the primary indication for lung

Common name	Latin name	Major active ingredients	Main pharmacologic function	Ref.
Danshen root	Radix salvia miltiorrhiza	Salvianolic acid A and B, polysaccharide	Scavenges oxygen radicals, anti-lipid peroxidation	29
Chuanxiongzine	Ligustrazine	Tetramethylpyrazine	Inhibits fibroblast cell proliferation, down-regulates CTGF expression	30
Chinese angelica	Angelica sinensis	5-Hydroxymethyl-furfural, polysaccharides	Scavenges oxygen free radicals, down-regulates CTGF expression	31,32
Huangqi, Radix astragali	Astragalus membranaceus	Polysaccharides, saponins, and flavonoids	Down-regulates the expression of MMP-2 and TIMP-1	33
Sanqi, pseudo-ginseng	Panax notoginseng	Panax notoginseng saponins	Down-regulates the expression of hydroxyproline and CTGF	34
Ginkgo Leaf	Folium Ginkgo	Flavonoid, terpenoid	Down-regulates the expression of CTGF, inhibit AT II epithelial cell apoptosis	35,36
Fourstamen Stephania root/radix	Stephania tetrandra	Tetrandrine	Blocks calcium channels and calmodulin	37
Common three-wingnut root/radix	Tripterygium wilfordii	Triptolide and diterpenoids	Anti-inflammatory and anti-oxidant	38
Sha shen, root of straight ladybell	Adenophora stricta	Triterpenoids and $\beta$ -sitosterol	Down-regulates the expression of TGF- $\beta$ 1 and TNF- $\alpha$	39
Chinese caterpillar fungus	Cordyceps sinensis	Cordyceps polysaccharide, cordycepin, ergosterol	Reduces inflammatory cell infiltration and fibroblast deposition, regulates the balance of MMP-9/TIMP-1	40
Turmeric, Jianghuang	Curcuma longa	Curcumin, demethoxycurcumin, and bisdemethoxycurcumin	Induces the apoptosis of abnormal lung fibroblasts	41

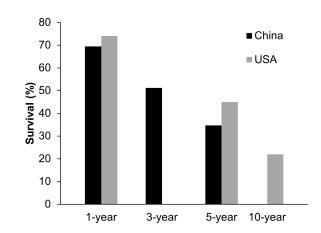


Figure 2. Long-term survival after lung transplantation.

transplantation and about 37% of lung transplants prior to 2009 were to treat IPF (44). Lung transplantation to treat IPF was recommended by both the 1994 trial guidelines for the diagnosis and treatment of IPF and the 2011 guidelines of the ATS.

The largest lung transplantation center in China is in Jiangsu Province. There, 131 patients underwent lung transplantation from September 2002 to December 2011. Of these patients, 59 (45%) were diagnosed as having end-stage IPF (45). Patients had a 1-year survival rate of 69.5%, a 3-year survival rate of 51.2%, and a 5-year survival rate of 34.7%, with a median survival time of 42 months. In the West, lung transplantation to treat IPF (46) has yielded significant benefits in terms of survival. Currently, patients have a 1-year survival rate of 74%, a 5-year survival rate of 45%, and a 10-year survival rate of 22%. A comparison of survival rates is shown in Figure 2.

Therapies to treat IPF are rarely effective and disease progression is inevitable. To date, lung transplant remains the only viable treatment option offering long-term survival, with a 5-year survival rate of 45% and a 10-year survival rate of 22% (46). This is very encouraging for patients with IPF. However, only a few patients with IPF in China have the opportunity to receive a lung transplant to improve their quality of life and prolong their survival due to the expense of the procedure and lack of donors. From 1978 to 2010, only about 244 lung transplants were performed at about 20 facilities in China (47). This cannot meet the demands of the large estimated population of patients with IPF. In addition, progression of fibrosis in the native lungs after lung transplantation is also a major challenge (46).

#### 6. Conclusion

IPF is a type of rare disease, and little is known about its epidemiologic characteristics in China. Because of China's huge population, the country is estimated to have the largest population of patients with IPF.

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Doctors in China have continually focused on this intractable disease and they have drafted two editions of guidelines for IPF diagnosis and treatment. However, the current state of IPF diagnosis and treatment remains unsatisfactory. To date, there are no specific pharmacological therapies for IPF. CTM is widely used in China and is reported to have some effect on IPF, but more large-scale studies and randomized, placebo-controlled studies are needed, as are studies of the pharmacological mechanisms of TCM. Home oxygen therapy is widely accepted in China. Lung transplantation to treat IPF has been successfully performed in China, resulting in long-term survival.

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## Review

## The current clinical aspects of idiopathic portal hypertension

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Summary Idiopathic portal hypertension (IPH) comprises disorders developing increased portal pressure in the absence of cirrhosis: the clear mechanisms to explain this disease are still not well recognized. IPH usually suggests a benign prognosis, but sometimes is complicated with severe hemorrhage due to ruptured esophageal varices, or massive splenomegaly. Conventional treatments for those complications for patients with cirrhosis usually works when diverted to patients with IPH, although some of those patients might require liver transplantation if the treatment fails. However, there are few consistent treatment strategies for IPH itself, its complications or the indications for liver transplantation. In this mini review, we summarize the clinical manifestations and several potential theories to explain the etiology, as well as the current treatment options for IPH.

*Keywords:* Idiopathic portal hypertension (IPH), non-cirrhotic portal fibrosis, nodular regenerative hyperplasia (NRH), Idiopathic noncirrhotic portal hypertension

#### 1. Introduction

Portal hypertension is a clinical manifestation which is defined as the presense of a porto-caval venous pressure gradient > 5 mmHg(1). The most common cause of portal hypertension is liver cirrhosis. There are, however, a variety of disorders which develop portal hypertension without cirrhosis: Idiopathic portal hypertension (IPH) is one of the most important etiologies of portal hypertension without cirrhosis. The name IPH was first proposed by Boyer by excluding liver cirrhosis from Banti syndrome in 1967 (2).

IPH is usually regarded as a disorder with feasible prognosis, and is mainly managed by supportive treatment such as endoscopic, radiological and/or surgical management for esophageal varices and/ or splenomegaly. However, it has been reported that IPH sometimes leads to poor prognosis due to gastrointestinal hemorrhage and/or liver failure (3), which would result in liver transplantation or death. Importantly, the etiology and mechanisms to explain IPH are still uncertain. The main scope of this review is to provide an overview of IPH, including its potential etiologies, clinical manifestations and treatment options.

#### 2. Terminology and Epidemiology

Japanese study groups usually use the term of "IPH", whereas Indian researchers prefer to use the term noncirrhotic portal fibrosis for the name of this disorder. Groups in Western countries have proposed terms such as nodular regenerative hyperplasia (NRH), hepatoportal sclerosis (4), or Idiopathic noncirrhotic portal hypertension (5).

In contrast to its high prevalence in India, IPH is comparatively a rare disorder in Western countries (6). Presumably because of that reason, there are limited recent literatures published to investigate the epidemiology of IPH. Although the amount of literature quoting the epidemiology of this disease remains little, slight male predominance is reported (7).

#### 3. Etiology

The definite etiology of IPH is still uncertain, although there are several theories on the potential pathogenesis of IPH. These theories include immunological

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disorders, infections, and genetic variants. There is another potential theory that IPH is associated with prothrombotic disorders ("Thrombosis theory") (8,9), but this theory is very controversial and still needs to be investigated: however, the pathogenesis of IPH seems to be multifactorial.

#### 3.1. Immunological disorders

Disease in patients with IPH is sometimes complicated by progressive systemic sclerosis (PSS), systemic lupus erythematosus (SLE) and/or mixed connective tissue disease (MCTD), and hyper-gammaglobulinemia and autoantibodies are frequently seen which suggests underlying autoimmunological disorders in IPH (*10-12*). It has been reported that the strong expression of HLA-DR or VCMA-1 in endothelial cells of portal branches were seen in patients with IPH, which suggests the vascular endothelial cell could be the target of autoimmunity (*13,14*).

#### 3.2. Infections

Chronic exposure to antigenemia of intestinal origin causes inflammatory reactions in portal tracts, which may trigger the histopathological changes that eventually result in IPH. This theory could be supported by the fact that IPH is frequently seen in patients from comparatively low socioeconomic areas, because abdominal infection at birth or in early childhood may play an important role to establish this potential mechanism (15). In addition, injection of Escherichia coli into the portal vein in an animal model was reported to contribute to the development of IPH-like symptoms (16).

#### 3.3. Genetic Disorders

There are several reports mentioning that patients with IPH sometimes are observed with familial aggregation (17,18) or among a group of patients with congenital disorders such as "Adams-Oliver" syndrome (19,20) or Turner's syndrome (21). This fact suggests that IPH could be associated with a potential genetic background.

#### 4. Diagnosis and clinical manifestations

The diagnosis of IPH is a challenge, as there are no guidelines or consensus on the diagnosis of this disease, so far. Difficulties also are related to the fact that this disease is rarely seen in daily practice, and physicians who first see portal hypertension usually suspect underlying liver cirrhosis (22).

Patients might present with episodes such as gastrointestinal bleeding (esophageal varices) and/or left upper quadrant discomfort/pain (splenomegaly). It is rare to see significant ascites at the initial presentation even in the context of developed portal hypertension. Those patients could have abnormal liver tests, and undergo ultrasonography. The findings of ultrasonography for patients with IPH are characterized by a thick wall of the portal vein and a nodular liver surface (23-25), which is, however, frequently seen in those with liver cirrhosis as well. Differentiation between IPH and liver cirrhosis seems difficult without liver biopsy. Recent reports suggested the usefulness of transient elastgraphy as a potencial surrogate of liver biopsy by estimating liver stiffness (5).

As for the prognosis of IPH, it has been considered to be a benign disorder as long as the main complications such as esophageal varices and hypersplenism are successfully controlled, mainly because of preserved liver synthetic functions. The 5-year death rate was reported to be close to 0% (6), however, a more recent study showed an inferior survival rate (78% survival rate at 5 years) compared to the general population (26). The mortality due to acute hemorrhage in IPH is significantly lower than that observed in those with liver cirrhosis (8, 27, 28), however, the management of esophageal varices is one of the most important factors in following those with IPH, as variceral bleeding is the dominant cause of death in these patients (29). Once the patients with IPH develop ascitis, the prognosis is reported to be poor (26). As for the predictive factors of survival of patients with IPH, Eapen *et al.* recently has reported that older age at first presentation with IPH, hepatic encephalopathy, and portal vein thrombosis were associated with reduced transplant-free survival (30). Several reports have been published mentioning liver transplantation in patients with IPH: the indications were unsuccessful treatment for portal hypertension, hepatic encephalopathy, hepatopulmonary syndrome, and/or liver failure (22,31).

#### 5. Pathological findings of IPH

The liver is often atrophic due to drop off of the peripheral liver. Those findings are usually seen in the late stage of IPH, not in the early stage. Along with liver atrophy, several stages of portal sclerosis could appear. Portal vein or its medium/large branch could be dilated or thickened. Because of the abnormal intrahepatic blood flow, hyperplastic nodules such as nodular regenerative hyperplasia (NRH) or partial nodular transformation (PNT) are often seen. At autopsy, obliterating thrombosis in medium to large portal branches is extensively detected. Based on these findings, Nakamura *et al.* proposed a staging system for IPH which is graded from 1 to 4 according to the degree of disease progression based on the histopathological findings (*32*).

#### 6. Treatment options

As noted above, the main complications of IPH are

represented as esophageal varices and hypersplenism.

# 6.1. Esophageal varices, gastric varices and portal hypersentive gastropathy

Most of (90%) the patients with IPH develop esophageal varices (33). The esophageal varices in IPH patients are characterized by several specific features. As the wall of the variceal vein (as well as portal vein) is thicker than the varices seen in cirrhotic patients, the varices rarely show a red-color sign. The rate of rupture should be less in IPH patients than cirrhotic patients. Firm evidence of treatment of esophageal varices in IPH patients is limited. Endoscopic sclerotherapy was reported to be effective in controlling ruptured esophageal varices (34). Endoscopic variceal ligation (EVL) is not recognized as the standard of care in this setting due to lack of scientific evidence so far, although it is widely applied in the clinical situation because of the proven superiority of EVL to sclerotherapy in controlling esophageal varices of cirrhotic patients (35).

Portal hypertension in IPH patients, again, is characterized by the preserved liver synthetic function, and the mechanisms of portal hypertension in cirrhotic patients are different because of a liver that remains functioning: hyper-dynamic mesenteric circulation and imbalance in vasoactive mediators should not be observed in IPH. This means that conventional medical treatment for cirrhotic patients such as beta-blockers (*36*) and angiotensin II receptor antagonists (*37*) should not be applied equally to patients with IPH due to this mechanism difference. There is one small study which reported the inferiority of medical therapy to endoscopic ligation for the prevention of rebleeding in those with IPH (*38*).

Gastric varices and portal hypertensive gastropathy are (PHG) less frequently seen in IPH patients than esophageal varices. Gastric varices was reported to be found in one fourth of the Indian IPH patiens (39). In patients with liver cirrhosis, nonselective beta-blockers have been shown to suppress blood flow of gastric mucosa, and seems effective in preventing recurrent bleeding from PHG (40).

#### 6.2. Hypersplenism

Pancytopenia and severe hypersplenism is now considered as one of the most important indications for splenectomy or partial splenic embolizationin (PSE), because those therapies have been demonstrated to decrease portal hypertension in these patients (41, 42).

#### 7. Conclusion

IPH is a heterogenous disorder with varying clinical pictures, including (a)symptomatic splenomegaly and variceal bleeding. The etiology IPH still needs to be clarified, and there is no consensus on treatment options for IPH itself or complications such as variceal bleeding or massive splenomegaly. The patients have a relatively well-preserved liver function, but further investigation of its etiology and more detailed clinical characterization is warranted, because some group of patients with IPH may develop into a critical prognosis which might require liver transplantation.

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# *In vitro* culture and characterization of enteric neural precursor cells from human gut biopsy specimens using polymer scaffold

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Summary In vitro expansion and characterization of neural precursor cells from human gut biopsy specimens with or without Hirschsprung's disease using a novel thermoreversible gelation polymer (TGP) is reported aiming at a possible future treatment. Gut biopsy samples were obtained from five patients undergoing gut resection for Hirschsprung's disease (n = 1) or gastrointestinal disorders (n = 4). Cells isolated from the smooth muscle layer and the myenteric plexus were cultured in two groups for 18 to 28 days; Group I: conventional culture as earlier reported and Group II: using TGP scaffold. Neurosphere like bodies (NLBs) were observed in the cultures between 8th to 12th day and H & E staining was positive for neural cells in both groups including aganglionic gut portion from the Hirschsprung's disease patient. Immunohistochemistry using S-100 and neuron specific enolase (NSE) was positive in both groups but the TGP group (Group II) showed more number of cells with intense cytoplasmic granular positivity for both NSE and S-100 compared to Group I. TGP supports the in vitro expansion of human gut derived neuronal cells with seemingly better quality NLBs. Animal Studies can be tried to validate their functional outcome by transplanting the NLBs with TGP scaffolds to see whether this can enhance the outcome of cell based therapies for Hirschsprung's disease.

*Keywords:* Enteric neural precursor cells, Hirschsprung's disease, thermoreversible gelation polymer (TGP)

#### 1. Introduction

The part of the peripheral nervous system (PNS) that controls the peristaltic activity of the gut wall is the

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enteric nervous system (ENS). This is essential for propulsion of food in the digestive tract. The ENS is composed of a large number of neurons and glial cells, distributed throughout the length of the gut. These ganglion cells develop from the neural crest in the embryo. Hirschsprung's disease or congenital megacolon is the failure or delay of the complete colonization of the gut by these enteric neural crest cells during early development which results in the absence of ganglia or neurons in a portion of the gut, usually the colon, that results in aperistalsis and severe intestinal obstruction. Hirschsprung's disease affects

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1 in 5,000 newborns and affects boys more often than girls at a ratio of 4:1(1-3). It appears either sporadically or has a familial basis and is often associated with other developmental defects. Surgical management continues to be the major treatment approach. The principle involves reconstruction of the intestinal tract by pulling the normal innervated portion of the colon down to the anus preserving the sphincter function. This pullthrough surgery's recent variants include total transanal endorectal pull-through (TERPT) and the laparoscopic assisted pull-through (3). Inspite of advances in surgical approach, constipation, abdominal distension and enterocolitis are some of the long term obstructive symptoms observed in patients who undergo operative modalities for Hirschsprung's Disease (3). The extent of aganglionosis varies between patients and there are reports in the literature in which there are extreme forms of aganglionosis in which even a complete portion of the intestine is devoid of the ganglion cells (4). In such cases, surgery is impossible. At this juncture, cell-based therapies to replace the ganglion cells or enteric neuronal cells in the aganglionic portion of the gut aiming at restoring the function of the gut are being considered as a potential solution to Hirschsprung's disease (5,6). Earlier studies have reported the isolation of enteric neural precursor cells from normal gut tissues and Hirschsprung's disease affected gut tissues (5,7). In this study, we report in vitro culture and characterization of enteric neural precursor cells from full thickness gut biopsy samples of patients with or without Hirschsprung's disease in a novel polymer scaffold.

#### 2. Materials and Methods

Postnatal gut full thickness 2-4 mm biopsy samples were obtained from five patients undergoing gut resection surgery after proper informed consent. The work was conducted in accordance with the Declaration of Helsinki (1964). Of the five patients, one had Hirschsprung's disease (Patient I). The remaining four patients (Patient II-V) underwent gut resection surgery for conditions like biliary atresia and exomphalos major with rectal atresia. From patient I, biopsy samples from both the ganglionic portions and the aganglionic portions of the gut were obtained. All samples were washed in phosphate buffered saline (PBS) containing penicillin (100 U/mL), streptomycin (100  $\mu$ g/mL) and amphotericin (100 U/mL). Using forceps, the outer smooth muscle layers along with the myenteric plexus were peeled off from the underlying tissue as strips. Fibronectin (2 µg/cm<sup>2</sup>) (Sigma-Aldrich, USA) was coated on 6-well tissue culture (TC) Plates (Corning Inc., Corning, NY, USA) and the plates were undisturbed for at least two hours. The tissue strips were washed with phosphate buffered saline (PBS) Ca<sup>2+</sup> and Mg<sup>2+</sup> free and cut into small pieces

for enzymatic digestion using collagenase (1 mg/mL) and dispase (1 mg/mL) and kept in a  $CO_2$  incubator at 37°C for 30 min. Digested tissues were filtered using a 70 µm filter and centrifuged at 1,800 rpm for 8 min at 24°C. Cell count of the pellet was obtained using the Trypan blue dye exclusion method and the cells were divided into two equal portions. The cells thus divided were seeded as two groups, Group I (Gr.I): in DMEM/F12 (Gibco BRL, Gaithersburg, MD, USA) medium supplemented with penicillin (100 U/mL), streptomycin (100 µg/mL), L-glutamine (2 mmol/L), and growth factors including basic fibroblast growth factor (bFGF) (20 ng/mL) (Sigma-Aldrich, USA) and epidermal growth factor (EGF) (20 ng/mL) (Sigma-Aldrich, USA) onto the fibronectin coated TC plates according to the protocol reported by Bondurand et al. (2); Group II (Gr.II): with thermoreversible gelation polymer (TGP). TGP was obtained in a lyophilized vial from Nichi-In Biosciences (P) Ltd, Chennai, India. The Thermo-reversible Gelation Polymer (TGP) used in this study is a copolymer composed of thermo-responsive polymer block [poly(*N*-isopropylacrylamide-co-nbutyl methacrylate) (poly(NIPAAm-co-BMA)] and the hydrophilic polymer block (polyethylene glycol [PEG]) as described by Yoshioka et al. (8). Because this polymer block is hydrophilic at temperatures below the sol-gel transition temperature (20°C for the TGP used in this study) and hydrophobic at temperatures above this sol-gel transition temperature forming a homogenous three-dimensional (3D) network of the gel in water, cells for culture can be embedded at temperatures lower than 20°C and cultured three dimensionally in the hydrogel state at 37°C. For the present study, the TGP was reconstituted with 10 mL of DMEM/F12 medium and incubated at 4°C overnight. A drop of TGP-DMEM tissue culture (TC) medium mixture was placed at the center of the 6-well Fibronectin coated TC Plates (Corning Inc., Corning, NY) and solidified at 37°C. The cells from the remaining pellet were suspended in the culture medium and placed over this solidified gel-TC mixture after which a drop of the gel-TC medium mixture was again placed to cover the cells. Thus, the cells were embedded within the TGP. Culture medium containing DMEM/F12 medium supplemented with penicillin (100 U/mL), streptomycin (100 µg/mL), L-glutamine (2 mmol/L), bFGF (20 ng/mL) and EGF (20 ng/mL) was overlaid over the TGP. Cells were incubated at 37°C with 5% CO<sub>2</sub> for 18-28 days. Cells were observed daily and a media change was done every 2-3 days.

#### 3. Results and Discussion

The average cell number obtained from the ganglionic samples was 0.94 million cells. The cell number obtained from the aganglionic portion of Patient I was 0.19 million cells. In all samples (both Gr.I and Gr.II),

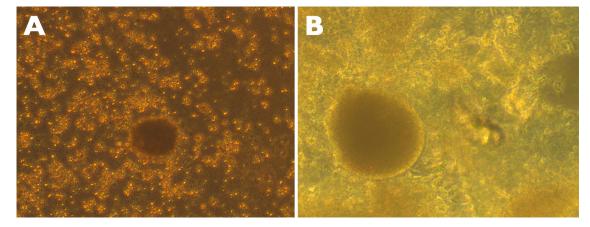


Figure 1. Neurosphere like body (NLB) observed. (A) Group I (Conventional). (B) Group II (TGP).

neurosphere like bodies (NLBs) were observed in culture between the 8th day and 12th day (Figure 1) including the aganglionic sample from Patient I with Hirschsprung's disease. The neurosphere like bodies (NLBs) observed in the culture were then subjected to histological and immunohistochemical (IHC) studies for S-100 and neuron specific enolase (NSE), a neuronal specific marker. H&E staining showed that both ganglionic and aganglionic samples in both Gr.I and Gr.II had round to oval cells with a large nucleus and moderate amount of pale cytoplasm. The cell size varied greatly in all the smears. These cells did not form sheets or any other specific pattern. They were mostly loose clusters and vague, short filamentous extensions were seen from the cytoplasmic borders. H&E stains were positive for neural cells (Figure 2). In immunohistochemistry (IHC), morphologically there was no difference in cultured cells from ganglionic and aganglionic segments in Gr.I and Gr.II. However, the cellularity was relatively higher in Gr.II (TGP group). Though both groups showed a cytoplasmic granular appearance, the TGP group (Gr.II) showed more number of cells with intense cytoplasmic granular positivity for both NSE and S-100 (Figure 3B, 4B) compared to Gr.I (Figure 3A, 4A).

Earlier studies have reported the generation of NLBs containing functionally active neural progenitors from gut tissue (1,9,10). Almond et al. isolated and expanded progenitor/stem cells from the post-coitum embryonic mouse cecum and postnatal human myenteric plexus and successfully transplanted the differentiated neurons and glial cells into aganglionic murine hindgut. The implanted cells colonized postnatal aganglionic bowel and expressed neuronal markers including nitric oxide synthase and vasoactive intestinal polypeptide (11). Metzger et al. generated NLBs from postnatal human gut mucosal tissue and after transplantation; the cells from NLBs colonized aganglionic chick and human hindgut to generate ganglia-like structures, enteric neurons and glia (1). In this study, we have examined the feasibility of culturing NLBs obtained from routine



Figure 2. H&E staining of cultured Neurosphere like bodies (NLBs).

gut biopsy samples of patients undergoing surgeries for Hirschsprung's disease or other disorders of the gastrointestinal system in a Thermo-reversible Gelation Polymer (TGP) and compared it to conventional culture techniques. Though ENS progenitors from the ganglionic gut of children diagnosed with and without Hirschsprung's disease have been isolated, characterized and reported earlier (12), a study similar to ours using a TGP which has proven to yield an increased number of neural progenitors (13), makes this unique. Apart from this, among the various types of thermo-reversible hydrogels reported for cell culture in the literature, the TGP used in this study is novel because it is a purely synthetic hydrogel and does not contain any biological components like proteins such as Hen Egg White Lysozyme (14) or poly-saccharides such as Chitosan (15) which are used in hydrogels to improve properties like cell-adhesion (14). Further, the TGP has been proven to maintain the three-dimensional morphology (16) of different kinds of stem cells, pre-cursor cells and adult cells without alteration of their gene expression (17) for longer periods of time in contrast to other

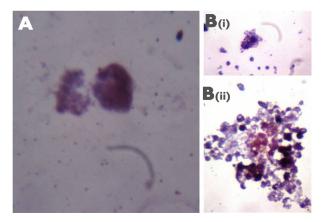
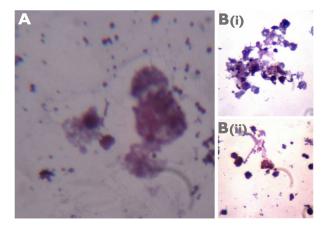


Figure 3. Neuron specific enolase (NSE) positive Neurosphere like bodies (NLBs). (A) Group I (Conventional). (B(i) and B(ii)) Group II (TGP).



**Figure 4. S-100 positive Neurosphere like bodies (NLBs).** (A) Group I (Conventional). (B(i) and B(ii)) Group II (TGP).

thermo-reversible hydrogels reported (14). Previous studies have suggested that from the 10th day onwards, NLBs can be observed in culture and NLBs have been grown in culture up to 28 days (2, 10). In our study, the NLBs were observed in culture between the 8th day and 12th day in all samples in both Gr.I and II including that of the aganglionic tissue. Ability of the cells to generate NLBs in the aganglionic sample demonstrates the presence of neural progenitors in that portion of the gut also. However, further characterization and studies are required to identify whether the NLBs from the ganglionic portion and the aganglionic portion have similar characteristics and capability to colonize the aganglionic portion in animal models of Hirschsprung's disease. Furthermore, this would shed light upon the fact that perhaps neural crest progenitors do migrate to the distal colon but fail to proliferate or differentiate due to micro-environmental abnormalities in the distal colon (3) and due to *in vitro* culture conditions, they might form NLBs. The relatively higher cellular staining in Gr.II (TGP group) demonstrates that TGP supports growth of NLBs. Because transplantation of neurospheres from fetal and post natal intestine derived neural crest cells has already proven to produce

functional neurons in the post natal colon of mice (18), further studies asking whether TGP can be used as a carrier for NLB transplantation in aganglionic gut models to help retain the neural progenitors in the region where they should re-colonize and form neural; glial cells are warranted. Suggesting TGP as a carrier for transplantation of NLBs is based upon earlier studies in which TGP was employed for transplantation of stem cells, progenitor cells and adult cells in animal models (19-21). The present study is only a preliminary study and extensive studies are warranted before this approach could be standardized and considered for treatment of Hirschsprung's disease.

#### 4. Conclusion

We successfully isolated and expanded human enteric neural precursor cells in the form of NLBs from postnatal gut biopsy samples of patients with Hirschsprung's disease as well as other gastrointestinal disorders. Because the NLBs in the TGP group showed a higher positive in IHC staining compared to the group without TGP, there could be potential to utilize the NLBs cultured in TGP in cell-based therapies for Hirschsprung's disease, after confirming their efficacy in appropriate animal models. The transplantation of NLBs encapsulated in TGP or along with TGP is also another area for further experimentation because it might enhance the outcome, comparing it to earlier published studies.

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## *Commentary*

## Pelizaeus-Merzbacher disease: Molecular diagnosis and therapy

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Summary Chromosome Xq22.2 contains the entire proteolipid protein 1 gene (*PLP1*), and a genomic duplication in that chromosome is responsible for Pelizaeus–Merzbacher disease (PMD). Duplication can be detected using several molecular diagnostic methods such as comparative multiplex PCR, fluorescent in situ hybridization (FISH), restriction site polymorphism (RSP) analysis, and multiplex ligation-dependant probe amplification (MLPA). The characteristics of these methods should be taken into account when using them. There is currently no treatment for PMD, so a cure is urgently need. Advances in research on stem cell therapies, and especially induced pluripotent stem cell therapy, offer great promise for development of a treatment for PMD.

Keywords: Pelizaeus-Merzbacher disease, PLP1 gene, duplication, molecular diagnosis, iPS cell

Pelizaeus-Merzbacher disease (PMD) is an X-linked recessive disorder of the central nervous system (CNS) white matter in which coordination, motor ability, and intellectual function are delayed to a varying extent (1). In the US, PMD has an estimated prevalence of about 1/300,000 to 1/500,000, and in Germany PMD has a prevalence of 0.13 of every 10,000 live-born infants (2). In China, relevant epidemiological statistics are lacking despite the publication of numerous clinical case reports. In clinical settings, the diagnosis of PMD is often suggested when magnetic resonance imaging (MRI) scans reveal aberrant white matter (high T2 signal intensity, *i.e.* T2 lengthening) throughout the brain. This aberration is typically evident by approximately 1 year of age, but less prominent abnormalities should be evident in infancy. Unless there is a family history of sex-linked inheritance, the condition is often misdiagnosed as cerebral palsy.

PMD is caused by mutations in the proteolipid protein 1 (*PLP1*) gene on Xq22.2. *PLP1* mutations lead to a broad range of clinical syndromes from spastic

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paraplegia 2 (SPG2), which is characterized primarily by leg spasticity and weakness, to the most severe, connatal, form of PMD (3). Mutations, duplication, and deletion can all affect *PLP1* gene expression (4) (Figure 1). Small mutations account for about 30% of *PLP1* mutations while *PLP1* duplications account for

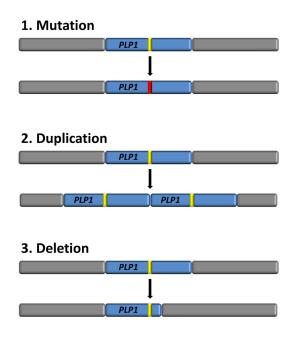


Figure 1. Pathogenetic mechanisms of PMD. There are three major forms of genetic abnormalities in PMD.

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Method	Advantage	Disadvantage	Ref.
Comparative/real-time PCR	Duplications can be easily detected.	Results may be ambiguous in detecting duplications.	(5)
FISH	Chromosomal translocations in metaphase and duplications in interphase can be detected.	Small duplications cannot be detected even in interphase.	(6)
RSP	Inexpensive and easy to perform.	Small duplications cannot be detected.	(1)
MLPA	Duplications can be accurately detected.	Signal intensity is not accurately detected.	(/)

Table 1. Methods for the detection of PLP1 duplications

about 70% of *PLP1* mutations. The duplicated region can range from about 40 Kbp to over 5 Mbp. Since the *PLP1* gene is dosage-sensitive, duplication and regulatory mutations are both likely to cause PMD. Deletion of the *PLP1* locus also leads to PMD but accounts for less than 1% of PMD cases.

Since PLP1 duplications are noted in about 70% of PMD cases, verifying the presence of duplication is a reasonable approach when PMD is suspected in a clinical setting. Thus far, many different molecular methods have been developed to verify the presence of a PLP1 duplication, including Southern blotting, a comparative multiplex polymerase chain reaction (PCR) assay (5), fluorescent in situ hybridization (FISH) (6), restriction site polymorphism (RSP) analysis (1), and multiplex ligation-dependant probe amplification (MLPA) (7) (Table 1). At present, these methods have been used in prenatal diagnosis to detect PMD as early as possible. The most common methods of detection are interphase fluorescent in situ hybridization (FISH) and quantitative PCR (Q-PCR). PLP1 duplications in over 70% of interphase nuclei are diagnosed using FISH. With Q-PCR, multiplex PCR is performed with one or more pairs of primers to screen for *PLP1* duplications using a gene outside the region of duplication as a reference gene. The signal intensity of *PLP1* is compared to that of the reference gene, and a sample with duplication will have a higher signal intensity than a normal sample. These two methods have a high specificity and sensitivity but they require considerable proficiency and expensive equipment. Although RSP is a relatively inexpensive technique, it cannot be used to detect duplications in most male patients because their homozygous alleles are identical. However, these polymorphisms are very helpful when identifying females as carriers. Recently, MLPA has been increasingly used to test for duplications because of its speed and accuracy. However, there is a lack of comparative studies of these diagnostic methods indicating which method is optimal.

There is no cure for PMD nor is there a standard treatment. Treatment, which is symptomatic and supportive, may include medication for seizures and spasticity. The prognosis for individuals with Pelizaeus-Merzbacher disease varies greatly. Children with the most severe form, connatal, usually cannot survive into adolescence, but sometimes survival into the sixties or even seventies is possible, especially with attentive care. Therefore, a cure is urgently needed. In December 2008, StemCells, Inc., a biotech company in Palo Alto, received approval from the U.S. Food and Drug Administration to conduct a Phase I clinical trial to assess the safety of transplanting human neural stem cells as a potential treatment for PMD (8). The trial began in November 2009 at the University of California, San Francisco Children's Hospital. In addition, recent studies on using induced pluripotent stem cells (iPSCs) for neural repair have found those iPSCs to show promise as a treatment for PMD. Recently, iPSCs have been used to treat many diseases related to nerve cell damage, such as Parkinson's and Huntington's diseases (9-11). In June 2013, a Japanese government panel approved the world's first clinical study using iPSCs for retinal regeneration (12). Thus, iPSCs may be able to treat other intractable diseases such as PMD.

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